

# The Power of Polynomials

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## Motivation: Polynomials Everywhere, I

```
k =0;
for(i=0; i<N; i++)
  for(j=0; j<N; j++)
    a[k++] = 0.;
```

→

```
for(i=0; i<N; i++)
  for(j=0; j<N; j++)
    a[N*i+j] =0.;
```

Are the loops parallel? Are there loop-carried dependences?

Can be solved by *delinearization*, or by the SMT solver Z3, or by ISL using Bernstein polynomials. Other approaches?

## Polynomials Everywhere: Scheduling

Find a schedule for:

```
s = 0.;  
for(i=1; i<N; i++)  
  for(j=0; j<i; j++)  
    s += a[i][j];
```

Since the program runs in time  $O(N^2)$  whatever the number of processors, it has no affine schedule. It has a two-dimensional schedule, which is equivalent to a quadratic schedule.

Can one find the quadratic schedule directly?

## Polynomials Everywhere: Transitive Closure

What is the *exact* transitive closure of:

$$(x' = x + y, y' = y, i' = i + 1)?$$

Answer:

$$(x' - i'.y' = x - i.y, y' = y, i' \geq i).$$

a polynomial relation.

# The Basic Problem

Given: a set  $K$  and a function  $f$ , is  $f$  positive in  $K$ :

$$\forall x \in K : f(x) > 0?$$

Extension:  $f$  is a *template* depending on a vector of parameters  $\mu$ .  
 Find  $\mu$  such that:

$$\forall x \in K : f_{\mu}(x) > 0.$$

**Farkas lemma** is the case where  $K$  is a polyhedron  
 $K = \{x \mid Ax + b \geq 0\}$  and  $f$  is affine. The solution is:

$$f(x) = \lambda_0 + \lambda \cdot (Ax + b), \lambda \geq 0$$

# Notations

A semi-algebraic set (sas):

$$K = \{x \mid p_1(x) \geq 0, \dots, p_n(x) \geq 0\}$$

where  $x$  is a set of unknowns  $x_1, \dots, x_p$  and the  $p_i$ s are polynomials in  $x$ .  
 A polyhedron is an sas such that all the  $p_i$ s are of first degree.

Schweighofer products: for each  $\vec{e} \in \mathbb{N}^n$ :

$$S_{\vec{e}}(x) = p_1^{e_1}(x) \dots p_n^{e_n}(x) = \prod_{i=1}^n p_i^{e_i}(x).$$

Given a finite subset  $Z \subset \mathbb{N}^n$  the associated Schweighofer sum is:

$$S_Z(x) = \sum_{\vec{e} \in Z} \lambda_{\vec{e}} \cdot S_{\vec{e}}(x), \quad \lambda_{\vec{e}} > 0.$$

Clearly, all Schweighofer sums are positive in  $K$ .

# Theorems

## Theorem (Handelman, 1988)

*If  $K$  is a compact polyhedron, then a polynomial  $p$  is strictly positive in  $K$  if and only if it can be represented as a Schweighofer sum for some finite  $Z \in \mathbb{N}^n$ .*

## Theorem (Schweighofer, 2002)

*If  $K$  is the intersection of a compact polyhedron and a semi-algebraic set, then a polynomial  $p$  is strictly positive in  $K$  if it can be represented as a Schweighofer sum for some finite  $Z \in \mathbb{N}^n$ .*

Notice the similarity between the *conclusion* of the two theorems, and the difference with Farkas lemma: since there is no known bound on the size of  $Z$ , it is usually impossible to obtain a negative answer.



## Algorithm H

The aim of this algorithm is to collect a set  $\mathcal{C}$  of constraints on the unknowns  $\lambda$  and  $\mu$ .

- ▶  $\mathcal{C} = \emptyset$ .
- ▶ Given: a set of Schweighofer products  $\{S_{\vec{e}}(x) \mid \vec{e} \in Z \subset \mathbb{N}^n\}$  and a polynomial (template)  $p_{\mu}(x)$ ,
- ▶ Result: A system of constraints on the  $\lambda$  and  $\mu$ .
- ▶ Completely expand the *master equation*:

$$E = p_{\mu}(x) - \sum_{\vec{e} \in Z} \lambda_{\vec{e}} \cdot S_{\vec{e}}(x).$$

- ▶ For each monomial  $x_1^{f_1} \dots x_p^{f_p}$ , collect its coefficient  $c$  and add  $c = 0$  to  $\mathcal{C}$ .  $c$  is an affine form in the  $\lambda$  and  $\mu$ .

## Comments

- ▶ Algorithm H works equally well in the Handelman or Schweighofer case, provided one use a uniform representation of polynomials, whatever their degree.
- ▶ The main difficulty is the selection of the products. One may use an oracle(!), or all products of a given degree, or all products of a given number of antecedents.
- ▶ The resulting system of constraints may be used in many ways: it may be solved by itself, or may be combined with other constraints before solving.
- ▶ If a solution for the  $\lambda$  and  $\mu$  is found, this solution can be certified, independently of Handelman or Schweighofer, by straightforward algebraic evaluation.

## Dependence Tests

A dependence set  $D$  is defined by a system of constraints:

- ▶ The iteration domains of its source and destination,
- ▶ A set of subscript equations,
- ▶ An order predicate.

Some or all of these constraints may involve polynomials. The problem is to decide whether this set is empty or not.

A possible solution is to prove, using algorithm H, that  $-1$  is a positive combination of Schweighofer products of  $D$ !

Since  $-1$  can never be positive, it follows that the constraints defining  $D$  cannot all be satisfied at the same time, i.e. that  $D$  is empty. Compare to the familiar Fourier-Motzkin algorithm.

## An Example

The dependence set:

$$\begin{array}{l}
 \text{for}(i=0; i<n; i++) \\
 \quad \text{for}(j=0; j<n; j++) \\
 \quad \quad a[N*i+j] = 0.;
 \end{array}
 \quad
 \begin{array}{l}
 0 \leq i \leq N-1 \\
 0 \leq j \leq N-1 \\
 Ni + j = Ni' + j' \\
 i + 1 \leq i'
 \end{array}
 \quad , \quad
 \begin{array}{l}
 0 \leq i' \leq N-1 \\
 0 \leq j' \leq N-1
 \end{array}$$

Algorithm H finds the following solution:

$$\begin{aligned}
 -1 &= (N - i - 1)(i' - i - 1) + i(i' - i - 1) + (i' - i - 1) \\
 &+ j' + (N - j - 1) + (Ni + j - Ni' - j')
 \end{aligned}$$

Hence, the dependence set is empty.

# Scheduling

## Notations

- ▶  $R, S, \dots$  a set of *instructions*
- ▶  $D_R$  the iteration domain of  $R$ , usually a polyhedron, sometimes an sas
- ▶  $\Delta_{RS} \subseteq D_R \times D_S$ , a dependence set from  $R$  to  $S$ .

**Problem** For each statement  $R$  find a function  $\theta_R : D_R \rightarrow \mathbb{Z}$  such that:

$$x \in D_R \Rightarrow \theta_R(x) \geq 0$$

$$\begin{pmatrix} x \\ y \end{pmatrix} \in \Delta_{RS} \Rightarrow \theta_R(x) + 1 \leq \theta_S(y)$$

## Method

- ▶ For each statement  $R$ , build a template schedule  $\theta_R$  by applying the first part of algorithm H to  $D_R$
- ▶ For each dependence, build a master equation for the *delay*  $\theta_S(y) - \theta_R(x) - 1$  by applying algorithm H to  $\Delta_{RS}$
- ▶ Collect the constraints and solve for the  $\lambda$  and  $\mu_S$  using a linear programming tool.

# DEMONSTRATION

# Result

```

table((__node,S) = [[i,j],{(N >= i+1),(i >= j+1),(i >= 1),
  (j >= 0)}],(__nodes) = [S],(__transition,T0) = [S,S,table(i = i',j = j'),
  {(i' >= i+1)}],(__transition,T1) = [S,S,table(i = i',j = j'),{(i = i'),
  (j' >= j+1)}],(__transitions) = [T0,T1])

(N * N)*mu_6+N*i*mu_11+N*i*mu_8+N*j*mu_15+N*mu_5+(i * i)*mu_12+
...
(j * j)*mu_16-j*mu_15-j*mu_16-j*mu_17-j*mu_7-mu_10-mu_5-mu_7

dependence polyhedron [(N >= i+1),(N >= i'+1),(i' >= i+1),(i >= j+1),
  (i >= 1),(i' >= j'+1),(i' >= 1),(j >= 0),(j' >= 0)]

dependence polyhedron [(N >= i+1),(N >= i'+1),(i = i'),(i >= j+1),(i >= 1),
  (i' >= j'+1),(i' >= 1),(j' >= j+1),(j >= 0),(j' >= 0)]

table(mu = 0,mu_10 = 1/2,mu_11 = 0,mu_12 = 0,mu_13 = 1/2,mu_14 = 1,mu_15 = 0,
  mu_16 = 0,mu_17 = 0,mu_18 = 0,mu_5 = 0,mu_6 = 0,mu_7 = 0,mu_8 = 0,mu_9 = 0
)

theta[S] = [1/2*(i * i)+j-1/2*i] == (j) + 1/2 . (i-1)*(i-1) + 1/2 . (i-1)

delay [T0] = 1/2*i+1/2*(i' * i')+j'-1/2*(i * i)-1/2*i'-j-1
=== (j') + 1/2 . (i'-i-1)*(i'-1) + 1/2 . (i'-i-1)*(i-1) + (i-j-1) + (i'-i-1)
    
```



## Related Work

- ▶ Early work by B. Pugh et. al. using uninterpreted functions, and by van Engelen et. al. using interval analysis
- ▶ Polynomial minimization using a Bernstein expansion, implemented in ISL, can be applied to dependence testing
- ▶ Work in progress by A. Maréchal and M. Périn (Verimag) on linearization (i.e. getting rid of polynomials) using Handelman theorem and an oracle to control complexity.

## Conclusion and Future Work

- ▶ The method works well and give interesting results in acceptable time, at least for small problems
- ▶ Other applications: transitive closure, program termination, (perhaps) invariant construction, ressource allocation, ...
- ▶ Complexity, very high, exponential in the degree of Schweighofer products
- ▶ Can one use an oracle to guess which products are useful?

## THE END – QUESTIONS